



UNITED STATES DEPARTMENT OF COMMERCE

Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER TRADEMARK	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/441,656	11/12/99	ELSEY	N 1631077-0028

0262/1209

WHITE & CASE LLP
PATENT DEPARTMENT
1155 AVENUE OF THE AMERICAS
NEW YORK NY 10036-2787

NOT ASSIGNED

2743

DATE MAILED:

12/09/99

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of \$65.00 for a small entity in compliance with 37 CFR 1.27, or \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a
 small entity (statement filed) non-small entity is \$ 510.

1. The statutory basic filing fee is:

- missing.
 insufficient.

Applicant must submit \$ 380 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

2. The following additional claims fees are due:

\$ _____ for _____ total claims over 20.

\$ _____ for _____ independent claims over 3.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

3. The oath or declaration:

- is missing or unsigned.
 does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

7. Your filing receipt was mailed in error because your check was returned without payment.

8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

2/17/2000
1/1/2000

Customer Service Center
Initial Patent Examination Division (703) 308-1202

02/17/2000 RSH/FFR 00000015 231703 09441656
01 FC:103 130.00 C2



SECTOR 113

Please type a plus sign (+) inside this box →

Approved for use through 09/30/2000. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	09/441,656
		Filing Date	11/12/99
		First Named Inventor	Nicholas J. Elsey
		Group Art Unit	2743
		Examiner Name	Not Assigned
Total Number of Pages in This Submission	13	Attorney Docket Number	1631077-0028

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input checked="" type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/> Combined declaration & Power of Attorney
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Small Entity Statement	<input type="checkbox"/> Return Postcard
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	<input type="checkbox"/> Error in statement of fee
<input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application	<input type="checkbox"/> Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Warren S. Heit, Registration No. 36,828 White & Case LLP.
Signature	
Date	February 9, 2000

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

Typed or printed name	Johnny Youmans Jr.
Signature	
Date	2/9/00

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



PTO/SB/17 (12/99)

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.
Small Entity payments must be supported by a small entity statement,
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.
See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$ 170.00)

Complete if Known

Application Number	09/441,656
Filing Date	11/12/99
First Named Inventor	Nicholas J. Elsey
Examiner Name	Not Assigned
Group / Art Unit	2743
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METHOD OF PAYMENT (check one)				FEE CALCULATION (continued)																																																																																																																																																																																																																					
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:</p> <p>Deposit Account Number <input type="text" value="23-1703"/></p> <p>Deposit Account Name <input type="text" value="White & Case LLP."/></p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17</p>				<p>3. ADDITIONAL FEES</p> <table border="1"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Fee</th> <th>Small Entity Fee Code (\$)</th> <th>Fee</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge - late filing fee or oath</td><td><input type="text"/></td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td><input type="text"/></td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td><td><input type="text"/></td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td>For filing a request for reexamination</td><td><input type="text"/></td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td><input type="text"/></td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td><td><input type="text"/></td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for reply within first month</td><td><input type="text"/></td></tr> <tr><td>116</td><td>380</td><td>216</td><td>190</td><td>Extension for reply within second month</td><td><input type="text"/></td></tr> <tr><td>117</td><td>870</td><td>217</td><td>435</td><td>Extension for reply within third month</td><td><input type="text"/></td></tr> <tr><td>118</td><td>1,360</td><td>218</td><td>680</td><td>Extension for reply within fourth month</td><td><input type="text"/></td></tr> <tr><td>128</td><td>1,850</td><td>228</td><td>925</td><td>Extension for reply within fifth month</td><td><input type="text"/></td></tr> <tr><td>119</td><td>300</td><td>219</td><td>150</td><td>Notice of Appeal</td><td><input type="text"/></td></tr> <tr><td>120</td><td>300</td><td>220</td><td>150</td><td>Filing a brief in support of an appeal</td><td><input type="text"/></td></tr> <tr><td>121</td><td>260</td><td>221</td><td>130</td><td>Request for oral hearing</td><td><input type="text"/></td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td><input type="text"/></td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to revive - unavoidable</td><td><input type="text"/></td></tr> <tr><td>141</td><td>1,210</td><td>241</td><td>605</td><td>Petition to revive - unintentional</td><td><input type="text"/></td></tr> <tr><td>142</td><td>1,210</td><td>242</td><td>605</td><td>Utility issue fee (or reissue)</td><td><input type="text"/></td></tr> <tr><td>143</td><td>430</td><td>243</td><td>215</td><td>Design issue fee</td><td><input type="text"/></td></tr> <tr><td>144</td><td>580</td><td>244</td><td>290</td><td>Plant issue fee</td><td><input type="text"/></td></tr> <tr><td>122</td><td>130</td><td>122</td><td>130</td><td>Petitions to the Commissioner</td><td><input type="text"/></td></tr> <tr><td>123</td><td>50</td><td>123</td><td>50</td><td>Petitions related to provisional applications</td><td><input type="text"/></td></tr> <tr><td>126</td><td>240</td><td>126</td><td>240</td><td>Submission of Information Disclosure Stmt</td><td><input type="text"/></td></tr> <tr><td>581</td><td>40</td><td>581</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td><td><input type="text"/></td></tr> <tr><td>146</td><td>690</td><td>246</td><td>345</td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td><input type="text"/></td></tr> <tr><td>149</td><td>690</td><td>249</td><td>345</td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td><td><input type="text"/></td></tr> <tr> <td colspan="4">Other fee (specify) <input type="text" value="Unsigned Declaration"/></td> <td colspan="4"><input type="text" value="130.00"/></td> </tr> <tr> <td colspan="4">SUBTOTAL (2) <input type="text" value="(\$ 0.00)"/></td> <td colspan="4">SUBTOTAL (3) <input type="text" value="(\$ 170.00)"/></td> </tr> <tr> <td colspan="4">Reduced by Basic Filing Fee Paid</td> <td colspan="4">SUBTOTAL (3) <input type="text" value="(\$ 170.00)"/></td> </tr> <tr> <td colspan="8">SUBMITTED BY Complete if applicable</td> </tr> <tr> <td>Name (Print/Type)</td> <td colspan="2"><input type="text" value="Warren S. Elsey"/></td> <td>Registration No. (Attorney/Agent)</td> <td>38,828</td> <td>Telephone</td> <td colspan="2">(650) 213-0300</td> </tr> <tr> <td>Signature</td> <td colspan="2"><input type="text" value="Signature"/></td> <td>Date</td> <td colspan="4">2/9/00</td> </tr> </tbody> </table>				Large Entity Fee Code (\$)	Fee	Small Entity Fee Code (\$)	Fee	Fee Description	Fee Paid	105	130	205	65	Surcharge - late filing fee or oath	<input type="text"/>	127	50	227	25	Surcharge - late provisional filing fee or cover sheet	<input type="text"/>	139	130	139	130	Non-English specification	<input type="text"/>	147	2,520	147	2,520	For filing a request for reexamination	<input type="text"/>	112	920*	112	920*	Requesting publication of SIR prior to Examiner action	<input type="text"/>	113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	<input type="text"/>	115	110	215	55	Extension for reply within first month	<input type="text"/>	116	380	216	190	Extension for reply within second month	<input type="text"/>	117	870	217	435	Extension for reply within third month	<input type="text"/>	118	1,360	218	680	Extension for reply within fourth month	<input type="text"/>	128	1,850	228	925	Extension for reply within fifth month	<input type="text"/>	119	300	219	150	Notice of Appeal	<input type="text"/>	120	300	220	150	Filing a brief in support of an appeal	<input type="text"/>	121	260	221	130	Request for oral hearing	<input type="text"/>	138	1,510	138	1,510	Petition to institute a public use proceeding	<input type="text"/>	140	110	240	55	Petition to revive - unavoidable	<input type="text"/>	141	1,210	241	605	Petition to revive - unintentional	<input type="text"/>	142	1,210	242	605	Utility issue fee (or reissue)	<input type="text"/>	143	430	243	215	Design issue fee	<input type="text"/>	144	580	244	290	Plant issue fee	<input type="text"/>	122	130	122	130	Petitions to the Commissioner	<input type="text"/>	123	50	123	50	Petitions related to provisional applications	<input type="text"/>	126	240	126	240	Submission of Information Disclosure Stmt	<input type="text"/>	581	40	581	40	Recording each patent assignment per property (times number of properties)	<input type="text"/>	146	690	246	345	Filing a submission after final rejection (37 CFR § 1.129(a))	<input type="text"/>	149	690	249	345	For each additional invention to be examined (37 CFR § 1.129(b))	<input type="text"/>	Other fee (specify) <input type="text" value="Unsigned Declaration"/>				<input type="text" value="130.00"/>				SUBTOTAL (2) <input type="text" value="(\$ 0.00)"/>				SUBTOTAL (3) <input type="text" value="(\$ 170.00)"/>				Reduced by Basic Filing Fee Paid				SUBTOTAL (3) <input type="text" value="(\$ 170.00)"/>				SUBMITTED BY Complete if applicable								Name (Print/Type)	<input type="text" value="Warren S. 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WARNING:

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors: Elsey *et al.* Docket. No.: 1631077-0028

Serial No.: 09/441,656 Art Unit: 2743

Filed: November 12, 1999 Examiner: Not assigned

For: ENHANCED DIRECTORY ASSISTANCE SERVICE PROVIDING INDIVIDUAL OR GROUP DIRECTORIES

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on February 9, 2000.

Johnny Youmans Jr.
Name

A handwritten signature of Johnny Youmans Jr.

February 9, 2000
Date

ERROR IN STATEMENT OF FEE

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

On January 25, 2000, there was a telephone conversation with the Patent Office regarding the \$380.00 insufficient basic filing fee due for the above-referenced patent application. The Patent Office confirmed that the \$380.00 was an error and that the only fee due is the \$130.00 fee for late filing of the declaration.

Respectfully submitted,

A handwritten signature of Warren S. Heit.

36,828

Warren S. Heit

WHITE & CASE LLP

1155 Avenue of the Americas

New York, New York 10036

(650) 213-0300

February 9, 2000